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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The application of: Morrison et al.

§ Group Art Unit: 2161

Serial No.: 09/746,176

§ Examiner: Unknown

Filed: December 21, 2000

§ Attorney Docket No.: AUS920000765US1

For: **Electronic Auction Method and System for Permitting Simultaneous Bids on Multiple, Different Items**

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**  
I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on March 13, 2001.

By:

Krista Douthitt

Krista Douthitt

**TRANSMITTAL DOCUMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Response to Notice to File Missing Parts of Nonprovisional Application;
- Copy of Notice to File Missing Parts of Nonprovisional Application;
- Copy of originally filed Declaration and Power of Attorney;
- Copy of originally filed and stamped postcard;
- Letter to the Official Draftsman;
- 10 sheets of drawings; and
- Our return postcard.

No fees are believed to be required. However, in the event that any fees are required for the prosecution of this application, please charge the necessary fees to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0392.

Respectfully submitted,

Duke W. Yee  
Duke W. Yee

Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Morrison et al.**

§ Group Art Unit: **2161**

Serial No.: **09/746,176**

§ Examiner: **Unknown**

Filed: **December 21, 2000**

§ Attorney Docket No.: **AUS920000765US1**

For: **Electronic Auction Method and  
System for Permitting Simultaneous  
Bids on Multiple, Different Items**

§

**LETTER TO THE OFFICIAL DRAFTSMAN**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

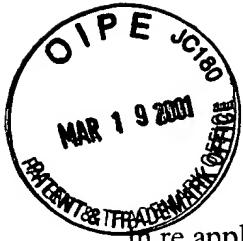
Submitted herewith are formal drawings (10 sheets) for the above-identified application.

Respectfully submitted,

Duke W. Yee  
Registration No. 34,285  
**Carstens, Yee & Cahoon, LLP**  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001  
ATTORNEY FOR APPLICANT

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Washington, D.C. 20231

on March 13, 2001 by KDouttitt



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: **Morrison et al.**

Serial No.: **09/746,176**

Filed: **December 21, 2000**

For: **Electronic Auction Method and System for Permitting Simultaneous Bids on Multiple, Different Items**

§ Group Art Unit: **2161**  
§ Examiner: **Unknown**  
§ Attorney Docket No.: **AUS920000765US1**

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**  
I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on March 13, 2001.  
By:   
Krista Douthitt

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

A Notice to File Missing Parts of Nonprovisional Application was received by Applicant stating that the signature of *William James Morrison* was missing from the original filing, however, this is incorrect. The signature of *William James Morrison* was included with Express Mail package EL356874382US1. Item number 5. as listed on the original return postcard states that two (2) Declarations were included in this Express Mail package. The signatures of the remaining three (3) inventors were present on the second of the two.

No fees are believed to be necessary at this time since there are actually no missing parts. However, in the event that any fees are required for the prosecution of this application, please charge any necessary fees to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested. Please charge the fee for this extension to Deposit Account No. 50-0392.

Respectfully submitted,

  
Duke W. Yee  
Registration No. 34,285  
**Carstens, Yee & Cahoon, LLP**  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001  
ATTORNEY FOR APPLICANT



Received in the U.S. Patent & Trademark Office

In Re Application of  
INVENTOR: Morrison et al.

**TITLE: Electronic Auction Method and System for Permitting  
Simultaneous Bids on Multiple, Different Items**

Enclosed:

1. Certificate of Mailing;
2. Transmittal Letter in duplicate;
3. Recordation in duplicate;
4. Assignment (2);
5. Declaration and Power of Attorney (2);
6. Patent Application (23 pgs. spec. incl. abstract, 13 pgs. claims);
7. 11 pages of drawings; and
8. Our return postcard.

Client: IBM Corporation

Serial No. unknown

Docket No. AUS920000765US1

Date Mailed: December 21, 2000

DWY/dw





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MAR 19 2001  
PATENT & TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/746,176	12/21/2000	William James Morrison	AUS920000765US1

**CONFIRMATION NO. 2913**

**FORMALITIES LETTER**



\*OC000000005742554\*

Duke W. Yee  
Carstens, Yee & Cahoon, L.L.P.  
P.O. Box 802334  
Dallas, TX 75380

Date Mailed: 02/07/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*William James Morrison*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
  
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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03/21/2001 TLUWJ1  
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*A copy of this notice **MUST** be returned with the reply.*

H. T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE